

## UNCTAD SURVEY QUESTIONNAIRE

### International Ship and Port Facility Security Code (ISPS Code) and SOLAS Amendments 2002

*Information provided will be treated confidentially and results will be reported in an aggregated form only.*

#### Part I      General information

1.      Please provide a profile of your company.

Company name and address: .....

.....

Number of employees: .....

Number of ships owned and/or operated (at present): .....

Flags flown by your fleet (at present): .....

.....

Annual revenues for year.....is/was.....      USD (\$)     

EURO (€)     

Other       Please specify:.....

2.      Please specify the proportion of **SOLAS ships** (= ships that are subject to the 2002 SOLAS amendments and the ISPS Code) **owned and/or operated** by your company and for which you have obtained an **International Ship Security Certificate** (ISSC).

Ship Type	Number of SOLAS ships	% SOLAS ships holding ISSC			
Total fleet	.....	0% <input type="checkbox"/>	Up to 50% <input type="checkbox"/>	50%-99% <input type="checkbox"/>	100% <input type="checkbox"/>
Passenger ships	.....	0% <input type="checkbox"/>	Up to 50% <input type="checkbox"/>	50%-99% <input type="checkbox"/>	100% <input type="checkbox"/>
Container ships	.....	0% <input type="checkbox"/>	Up to 50% <input type="checkbox"/>	50%-99% <input type="checkbox"/>	100% <input type="checkbox"/>
Tankers	.....	0% <input type="checkbox"/>	Up to 50% <input type="checkbox"/>	50%-99% <input type="checkbox"/>	100% <input type="checkbox"/>
Bulk carriers	.....	0% <input type="checkbox"/>	Up to 50% <input type="checkbox"/>	50%-99% <input type="checkbox"/>	100% <input type="checkbox"/>
General cargo	.....	0% <input type="checkbox"/>	Up to 50% <input type="checkbox"/>	50%-99% <input type="checkbox"/>	100% <input type="checkbox"/>
Other, please specify:					
.....	.....	0% <input type="checkbox"/>	Up to 50% <input type="checkbox"/>	50%-99% <input type="checkbox"/>	100% <input type="checkbox"/>
.....	.....	0% <input type="checkbox"/>	Up to 50% <input type="checkbox"/>	50%-99% <input type="checkbox"/>	100% <input type="checkbox"/>
.....	.....	0% <input type="checkbox"/>	Up to 50% <input type="checkbox"/>	50%-99% <input type="checkbox"/>	100% <input type="checkbox"/>

Further comments, if any:

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3. If the level of compliance of SOLAS ships owned and/or operated by your company is less than 100%, please specify the main reason or reasons.

- Lack of resources
- Lack of guidance
- ISPS Code requirements too complex/vague
- Security plans not approved by governments or in dispute
- Other, please specify:

.....

.....

4. Please specify the extent to which the choice of foreign ports of call is determined or influenced by foreign ports' level of compliance with the ISPS Code requirements.

- Highly
- Moderately
- Slightly
- Not at all

Please specify further:

.....

5. Please indicate whether you have introduced or plan to introduce security-related measures in order to supplement the mandatory provisions of the 2002 SOLAS amendments and the ISPS Code.

Yes No

If yes, please check all that applies:

Apply in part or in whole Part B of the ISPS Code

Apply the ISPS Code requirements to non-SOLAS ships

Apply additional industry associations' requirements

Apply additional national government's requirements

Other, please specify:

Please answer Parts II and III as applicable and, to the extent possible, express reported figures in United States dollars (USD/\$), EURO (€) or your national currency.

**Part II Compliance costs**

*In order to take into account the expenditures made in the period leading up to the 1 July 2004 deadline, for the purposes of the present questionnaire, the period of analysis is 2003 to present.*

6. Please estimate the **total initial "one-off"** expenditures required by your company and ships owned and/or operated by your company in order to comply with the requirements of the **2002 SOLAS amendments and the ISPS Code**. Please specify the currency used.

Total initial expenditures: .....

7. Please estimate the **total annual "recurring"** expenditures required by your company and ships owned and/or operated by your company in order to operate and maintain the security regime established by the **2002 SOLAS amendments and the ISPS Code**. Please specify the currency used.

Total annual expenditures: .....

8. Please specify, to the extent possible, the distribution and proportion of total initial and annual expenditures identified above.

% of initial "one-off"                      % of annual "recurring"

Infrastructure	.....	.....
Equipment	.....	.....
Information technology	.....	.....
Personnel and staff time	.....	.....
Training, exercises and drills	.....	.....
Administrative/Procedural	.....	.....
Operational	.....	.....
Upgrade security to levels 2 and 3	.....	.....
Automatic identification system	.....	.....
Ship security alert system	.....	.....
Ship identification number	.....	.....
Continuous synopsis record	.....	.....
Other, please specify:		
	.....	.....
	.....	.....
Further comments, if any:		
	.....	.....
	.....	.....
	.....	.....
	.....	.....

**9.** Please indicate whether your company and ships owned and/or operated by your company have, since the implementation of the 2002 SOLAS amendments and the ISPS Code requirements, experienced any of the following:

	Yes	No	If yes, please explain and, if possible, quantify:
Reduced theft	<input type="checkbox"/>	<input type="checkbox"/>	.....
Reduced criminal activity	<input type="checkbox"/>	<input type="checkbox"/>	.....
Increased efficiency	<input type="checkbox"/>	<input type="checkbox"/>	.....
Increased competitiveness	<input type="checkbox"/>	<input type="checkbox"/>	.....
Increased use of information and communications technology	<input type="checkbox"/>	<input type="checkbox"/>	.....
Delays	<input type="checkbox"/>	<input type="checkbox"/>	.....
Shift in demand in favour of other modes of transport	<input type="checkbox"/>	<input type="checkbox"/>	.....

Other, please specify:

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Further comments, if any:

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**Part III Funding and cost-recovery**

10. Do you believe that current freight levels and passenger fares reflect security expenditures made or budgeted?

Yes	No	Do not know
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

11. If yes, please specify the ships or categories of ships to which your answer above applies.

	Yes	No
Passenger ships	<input type="checkbox"/>	<input type="checkbox"/>
Container ships	<input type="checkbox"/>	<input type="checkbox"/>
Tanker	<input type="checkbox"/>	<input type="checkbox"/>
Bulk carrier	<input type="checkbox"/>	<input type="checkbox"/>
General cargo	<input type="checkbox"/>	<input type="checkbox"/>

Other, please specify:

.....

.....

12. Please indicate whether you have introduced or plan to introduce a security surcharge in order to recoup expenditures made or budgeted.

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

13. If yes, please indicate how the surcharge is or will be applied (e.g. trade scope, currency, amount and unit). Please specify the currency used.

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14. Please specify the proportion of total initial and annual expenditures expected to be recovered annually by way of surcharges.

Initial expenditures:	Up to 50% <input type="checkbox"/>	Between 50% and 80% <input type="checkbox"/>	Over 80% <input type="checkbox"/>
Annual expenditures:	Up to 50% <input type="checkbox"/>	Between 50% and 80% <input type="checkbox"/>	Over 80% <input type="checkbox"/>

**15.** Please indicate whether you have received funds or expect to receive funds in order to assist your company and ships owned and/or operated by your company in complying with the requirements of the 2002 SOLAS amendments and the ISPS Code.

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

**16.** If yes, please specify the sources, the type and the amount of funds received or expected. Please specify the currency used.

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**17.** Please specify the proportion of total initial and annual expenditures expected to be recovered annually through the funds received or expected.

Initial expenditures:            Up to 50%             Between 50% and 80%             Over 80%

Annual expenditures:            Up to 50%             Between 50% and 80%             Over 80%

**18.** Finally, please summarize the overall impact of the requirements of the 2002 SOLAS amendments and the ISPS Code on your company and ships owned and/or operated by your company.

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**19.** Please provide any additional comments that may assist the secretariat in completing the study (Please attach additional sheets if necessary):

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Contact details:

Thank you for your cooperation. Please be assured that the information submitted via this questionnaire will be treated confidentially and used exclusively for the purposes of the study. Results will be published without attribution and will be reported in an aggregated format only.

Please return the completed questionnaire no later than **30 December 2005** to:

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